



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Mail Stop Missing Parts
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450**

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

☒ Declaration and Power of Attorney executed by Klaus-Dieter DUCH, Johann WEBER, Franz OTT and Robert RÜHL;

☐ The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;

☐ An English translation of the specification, including \$130.00 fee;

☒ An Assignment of the Invention to: **Schott AG**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;

☐ A Certified Copy of the Priority Application;

☐ A Preliminary Amendment;

☐ Information Disclosure Statement (Form - 1449); and

☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.

☒ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	20 - 20 =	0	x \$50.00	\$
Independent Claims	3 - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$		
1/2 FILING FEE FOR SMALL ENTITY		\$		

☒ A check in the amount of **\$290.00** (\$1700.00 for basic filing fee; \$130.00 to cover the surcharge; \$120.00 extension of time fee; and \$40.00 for recordation of assignment fee) is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

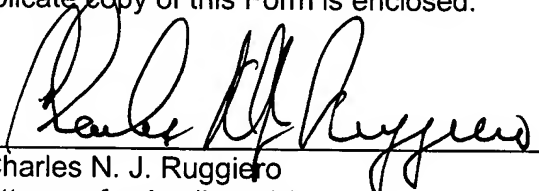
December 21, 2006

Date

12/29/2006 GFREY1 00000081 10574748

01 FC:1617
02 FC:1251

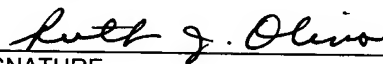
130.00 OP
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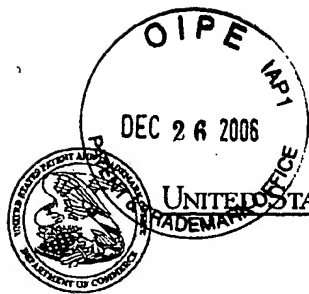
CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON December 21, 2006.

Ruth J. Olivo
NAME


SIGNATURE

December 21, 2006
DATE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/574,748	Klaus-Dieter Duch	2133.130USU

INTERNATIONAL APPLICATION NO.

PCT/EP04/11153

I.A. FILING DATE	PRIORITY DATE
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10/06/2004

10/06/2003

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP
 ONE LANDMARK SQUARE, 10TH FLOOR
 STAMFORD, CT 06901

CONFIRMATION NO. 2540

371 FORMALITIES LETTER



OC000000020572595

Date Mailed: 09/26/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/06/2006
- English Translation of the IA filed on 04/06/2006
- Copy of the International Search Report filed on 04/06/2006
- Copy of IPE Report filed on 04/06/2006
- Copy of Annexes to the IPER filed on 04/06/2006
- English Translation of Annexes to the IPE filed on 04/06/2006
- Preliminary Amendments filed on 04/06/2006
- Information Disclosure Statements filed on 04/06/2006
- U.S. Basic National Fees filed on 04/06/2006
- Substitute Specification filed on 04/06/2006
- Priority Documents filed on 04/06/2006

RECEIVED
 SEP 29 2006
 OHLANDT, GREELEY,
 RUGGIERO & PERLE, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

Additionally the following defects have been observed:

- Annexes have not been entered because not page for page substitution.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/574,748	PCT/EP04/11153	2133.130USU